

Sequence Types for Hereditary Permutators

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TLCA Problem # 20

Characterization of a set of terms
with an intersection type system

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more precisely, characterizing this set
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Today: using a coinductive type system
to characterize hereditary permutators

t is a h.p. iff $\vdash t : \text{ptyp}$

Definition (Hereditary Permutators)

t is a **hereditary permutator (h.p.)**

$\Leftrightarrow t$ invertible in Scott's model

$\Leftrightarrow t$ invertible for $\beta\eta$ -conversion w.r.t. composition ($\exists u, t \circ u =_{\beta\eta} u \circ t =_{\beta\eta} \mathbf{I}$)

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Characterization with Böhm trees

- For all $x \in \mathcal{V}$, the sets $\text{HP}(x)$ of **x -headed Hereditary Permutators (x -HP)** ($x \in \mathcal{V}$) are defined by mutual **coinduction**:

$$h_1 \in \text{HP}(x_1) \dots h_n \in \text{HP}(x_n) \quad (n \geq 0, \sigma \in \mathfrak{S}_n, x_i \neq x, x_i \text{ pairwise distinct})$$

$$\text{and } h \rightarrow_h^* \lambda x_1 \dots x_n. x h_{\sigma(1)} \dots h_{\sigma(n)}$$

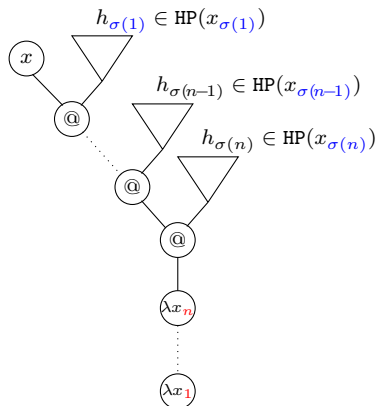
$$h \in \text{HP}(x)$$

- t is a (*closed*) *hereditary permutator* iff $t \rightarrow_h^* \lambda x.h$ with $h \in \text{HP}(x)$ for some x .

See Barendregt, Chapter 21

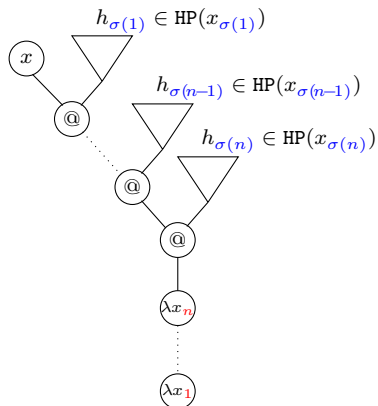
AN OLD PROBLEM. . .

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head normal form of a x -h.p.

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1 INTERSECTION TYPES AND SEQUENCES

2 CHARACTERIZING HEREDITARY PERMUTATORS

INTERSECTION TYPES (OVERVIEW)

- Introduced by **Coppo-Dezani** (78-80) to “interpret more terms”
 - Charac. of Weak Norm. for λI -terms (no erasing β -step).
 - Extended later for λ -terms, head, weak or strong normalization. . .
 - Filter models
- Model-checking
 - *Ong 06*: monadic second order (MSO) logic is decidable for higher-order recursion schemes (HORS)
 - *Kobayashi-Ong 09*: MSO is decidable for higher-order programs
 - + using intersection types to simplify Ong’s algorithm.
 - Refined by *Grellois-Melliès 14-15*
- Complexity analysis:
 - Upper bounds for reduction sequences (*Gardner 94, de Carvalho 07*) or exact bounds (*Bernadet-Lengrand 11, Accattoli-Lengrand-Kesner, ICFP’18*).
 - *Terui 06*: upper bounds for terms in a red. sequence
 - *De Benedetti-Ronchi della Roccha 16*: characterization of FPTIME

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Solution? coinductive type system may characterize sets of terms with an infinitary behavior [V.,17]

\rightsquigarrow e.g., the set of **infinitary WN** terms

(system **S**, **sequential intersection**)

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Step 1: characterize the set of h.p. in system **S**

\rightsquigarrow find a set of types \mathcal{P} s.t.

t typable with $P \in \mathcal{P}$ iff t is a h.p.

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Step 2: give the set of h.p. a *unique* type

\rightsquigarrow quotient \mathcal{P} and verify everything is right

Characterization in an intersection type system

Usually, equivalences of the form

“the program t is typable iff t is normalizing”

Idea: **several** certificates to a same subprogram (next slide).

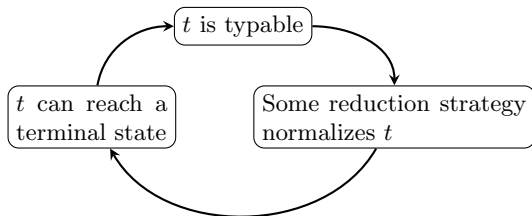
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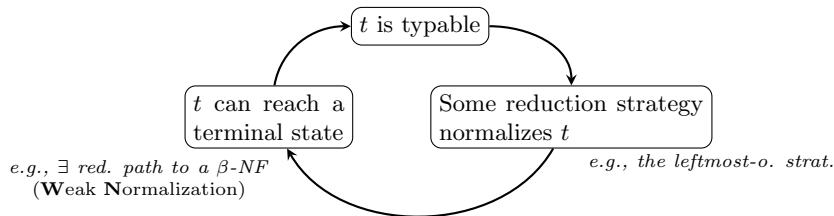
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INTUITIONS (SYNTAX)

- Naively, $A \wedge B$ stands for $A \cap B$:

t is of type $A \wedge B$ if t can be typed with A as well as B.

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- Intersection = kind of *finite polymorphism*.

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- But *less constrained*:

assigning $x : o \wedge (o \rightarrow o') \wedge (o \rightarrow o) \rightarrow o$ is legal.

(not an instance of a polymorphic type except $\forall X.X := \text{False!}$)

SUBJECT REDUCTION AND SUBJECT EXPANSION

A good intersection type system should enjoy:

Subject Reduction (SR):
Typing is stable under reduction.

Subject Expansion (SE):
Typing is stable under anti-reduction.

SE is usually not verified by simple or polymorphic type systems

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t is typable

SR + extra arg.

Some reduction strategy
normalizes t

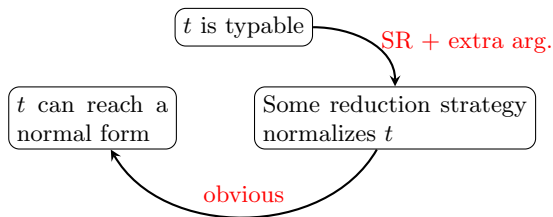
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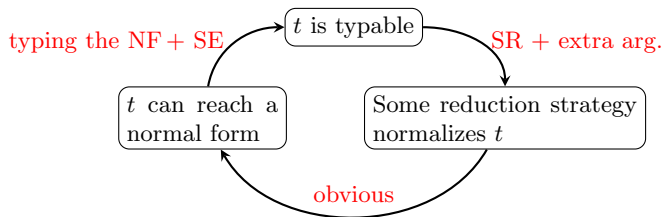
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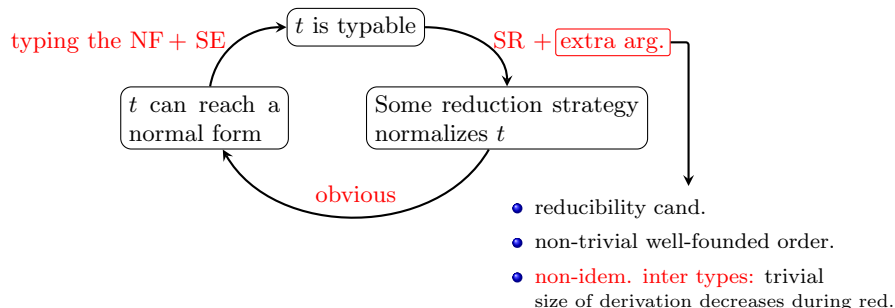
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INTERSECTION TYPES (COPPO-DEZANI 80)

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- Collapsing $A \wedge B \wedge C$ into $[A, B, C]$ (**multiset**) \rightsquigarrow no need for perm rules etc.

$$A \wedge B \wedge A := [A, B, A] = [A, A, B] \neq [A, B]$$

$$[A, B, A] = [A, B] + [A]$$

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- **Non-idempotency** ($\sigma \wedge \sigma \neq \sigma$):
in app-rule, pointwise multiset sum e.g.,
 $(x : [\sigma]; y : [\tau]) + (x : [\sigma, \tau]) = x : [\sigma, \sigma, \tau]; y : [\tau]$

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but an arg. may
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SUBJECT REDUCTION AND EXPANSION IN \mathcal{R}_0

From a typing of $(\lambda x.r)s \dots$ to a typing of $r[s/x]$

$$\begin{array}{c}
 \frac{}{x:[\sigma_1] \vdash x:\sigma_1} \text{ax} \qquad \frac{}{x:[\sigma_1] \vdash x:\sigma_1} \text{ax} \\
 \vdots \qquad \qquad \qquad \vdots \\
 \frac{}{x:[\sigma_2] \vdash x:\sigma_2} \text{ax} \\
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 \Delta_1^a \vdash s:\sigma_1 & \Delta_2 \vdash s:\sigma_2 & \Delta_1^b \vdash s:\sigma_1
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 \Gamma + \Delta_1^a + \Delta_1^b + \Delta_2 \vdash (\lambda x.r)s : \tau \quad \text{app}
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 \frac{\Gamma; x:[\sigma_1, \sigma_2, \sigma_1] \vdash r:\tau}{\Gamma \vdash \lambda x.r : [\sigma_1, \sigma_2, \sigma_1] \rightarrow \tau} \text{abs} \qquad \begin{array}{ccc} \triangleleft \Pi_1^a & \triangleleft \Pi_2 & \triangleleft \Pi_1^b \\ \Delta_1^a \vdash s:\sigma_1 & \Delta_2 \vdash s:\sigma_2 & \Delta_1^b \vdash s:\sigma_1 \end{array} \\
 \hline
 \Gamma + \Delta_1^a + \Delta_1^b + \Delta_2 \vdash (\lambda x.r)s : \tau \quad \text{app}
 \end{array}$$

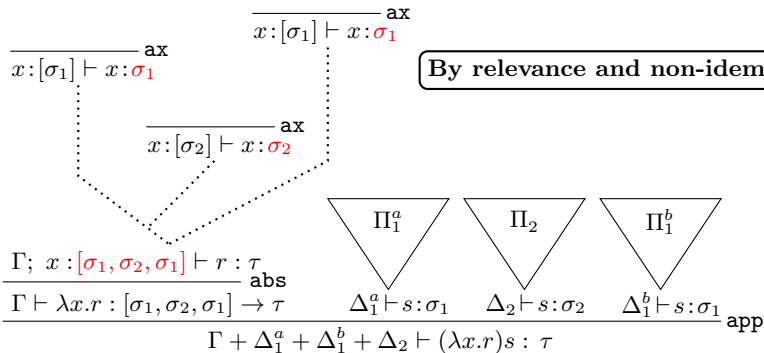
SUBJECT REDUCTION AND EXPANSION IN \mathcal{R}_0

From a typing of $(\lambda x.r)s \dots$ to a typing of $r[s/x]$

$$\begin{array}{c}
 \frac{}{x:[\sigma_1] \vdash x:\sigma_1} \text{ax} \qquad \frac{}{x:[\sigma_1] \vdash x:\sigma_1} \text{ax} \\
 \vdots \qquad \qquad \qquad \vdots \\
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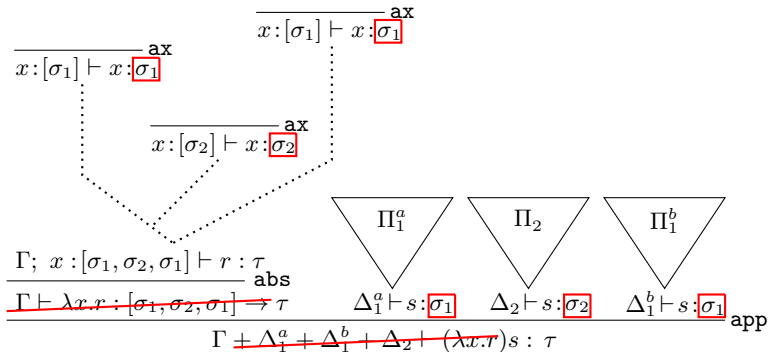
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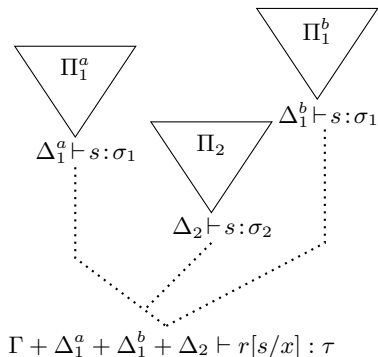
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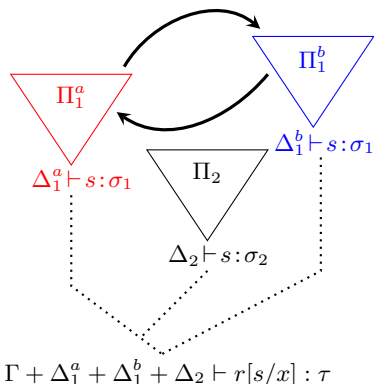
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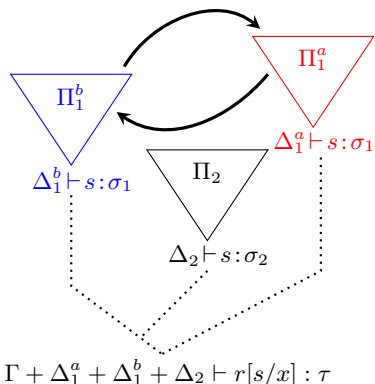
From a typing of $(\lambda x.r)s \dots$ to a typing of $r[s/x]$



Non-determinism of SR

SUBJECT REDUCTION AND EXPANSION IN \mathcal{R}_0

From a typing of $(\lambda x.r)s \dots$ to a typing of $r[s/x]$



Non-determinism of SR

System S

- coinductive type grammar
- replace $[\sigma_i]_{i \in I}$ with $(k \cdot \sigma_k)_{k \in K}$

↓
sequence type (new intersection)

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$(3 \cdot \sigma, 5 \cdot \tau, 9 \cdot \sigma)$ vs. $[\sigma, \tau, \sigma]$

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- replace $[\sigma_i]_{i \in I}$ with $(k \cdot \sigma_k)_{k \in K}$

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Tracking: $(3 \cdot \sigma, 5 \cdot \tau, 9 \cdot \sigma) = (3 \cdot \sigma, 5 \cdot \tau) \uplus (9 \cdot \sigma)$

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$$\text{vs. } [\sigma, \tau, \sigma] = [\underset{?}{\sigma}, \tau] + [\underset{?}{\sigma}]$$

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Why system S?

- Coinduction necessary to *fully* type infinite NF
- Coinductive type grammar $\rightsquigarrow \Omega$ is typable (**unsoundness**)
- Tracking necessary to recover **soundness**
 \rightsquigarrow **approximability** (= **validity** criterion, next slide)

System S allows characterizing **infinitary weak normalization**

APPROXIMABILITY (INTUITIONS)

- Order \leq on the set derivations based on truncation

$$\frac{x : [[] \rightarrow o] \vdash x : [] \rightarrow o}{x : [[o] \rightarrow o] \quad \vdash xy : o}$$

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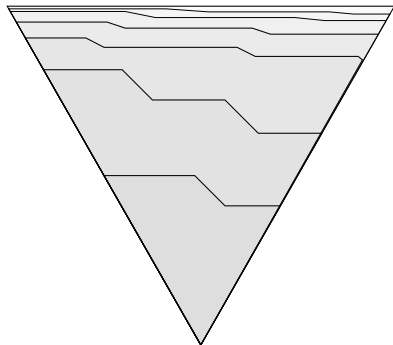
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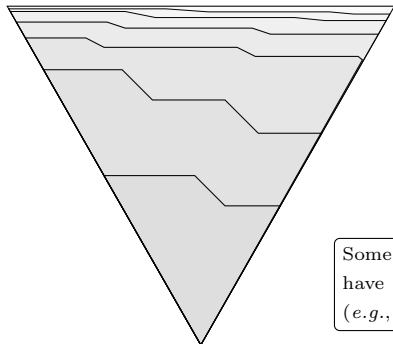
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Some derivations do not have finite approximations (e.g., typings of Ω)

PROPERTIES OF SYSTEM S

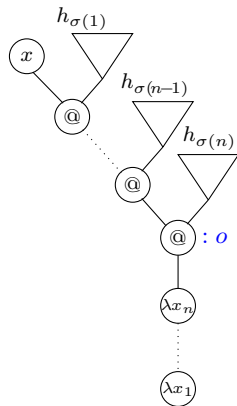
1 INTERSECTION TYPES AND SEQUENCES

2 CHARACTERIZING HEREDITARY PERMUTATORS

Goal:

Finding a set of pairs of types (S, T) s.t.
 $x : S \vdash h : T$ when h is x -h.p.

Tracks are ignored above! (ok for NF)



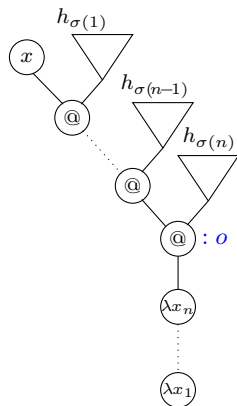
h

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h

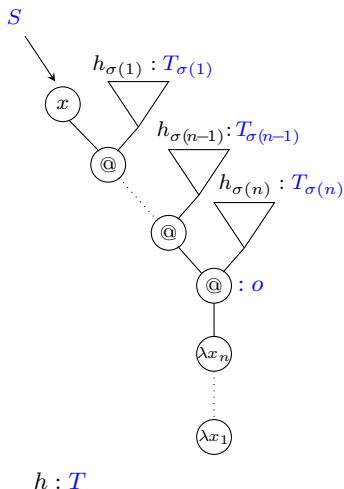
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TYPING NORMAL H.P. WITH PERMUTATOR PAIRS



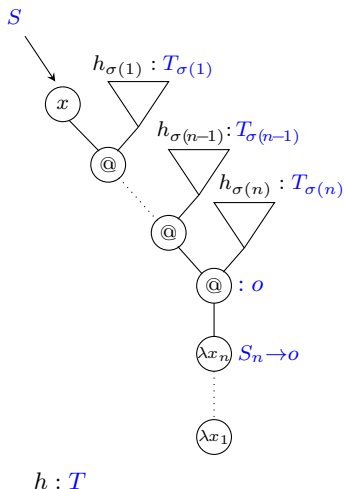
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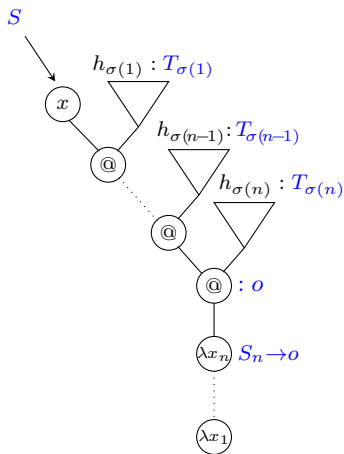
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TYPING NORMAL H.P. WITH PERMUTATOR PAIRS



$$h : T = S_1 \rightarrow \dots \rightarrow S_n \rightarrow o$$

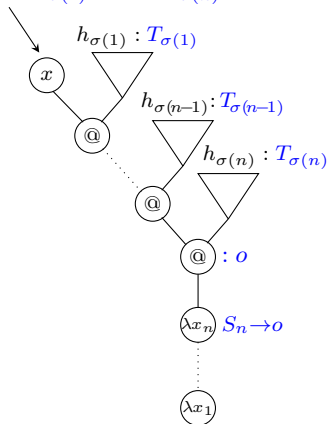
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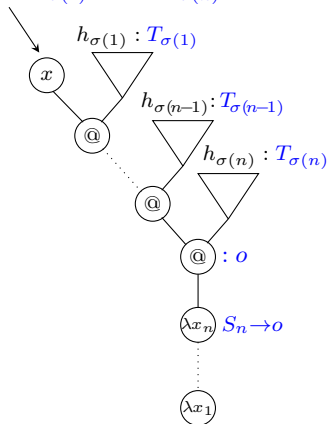
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Permutator pairs

- When o ranges over \mathcal{O} (the set of type atoms), the set $\text{PP}(o)$ of **o -permutator pairs** (S, T) , where S and T are S-types, is defined by **mutual coinduction**:

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Characterization of h.p. in system S

- t is a x -h.p. iff $x : (2 \cdot S) \vdash t : T$ for some $(S, T) \in \text{PPP}$
 - t is a h.p. iff $\vdash t : (2 \cdot S) \rightarrow T$ for some $(S, T) \in \text{PPP}$.
- For ∞ -NF: \Rightarrow (animation) \Leftarrow : use properness
 - non-NF: use ∞ -subj. reduction and expansion

Characterization in system \mathcal{S}

- t is a x -h.p. iff $x : (2 \cdot S) \vdash t : T$ (with (S, T) perm. pair)
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Proof.

- NF case: previous slide
- Use infinitary subject reduction and expansion

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Idea: “collapse” the $(2 \cdot S) \rightarrow T$

Does this preserve type soundness/completeness?

Permutator schemes of degree d

Let $d \in \mathbb{N}$. A x -**permutator scheme** of degree d is a term t whose Böhm tree is equal to that of a x -h.p. for applicative depth $< d$.

Applicative depth: number of nestings inside arguments.

- Any term t is a 0-p.s.
- $h = \lambda x \mathbf{x_1 x_2} . (x (\lambda x_{1,1} x_{1,2} . \mathbf{x_2} t_1 t_2)) (\lambda x_{2,1} . \mathbf{x_1} t_3)$ is a 2-p.s. ($t_{1,2,3}$:terms)

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Proper permutator pairs of degree d

PPP_d : truncations $((S)^{\leq d}, (T)^{\leq d})$ of a proper permutator pair at domain depth $< d$ (finite types!)

Domain depth: number of nestings inside left-hand sides of arrows

TRUNCATION

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Proper permutator pairs of degree d

PPP_d : truncations $((S)^{\leq d}, (T)^{\leq d})$ of a proper permutator pair at domain depth $< d$ (finite types!)

Domain depth: number of nestings inside left-hand sides of arrows

Characterization in system \mathbf{S}

t is a d -p.s. headed by x iff $x : (2 \cdot S) \vdash t : T$ (with $(S, T) \in \text{PPP}_d$)

TRUNCATION

Permutator schemes of degree d

Let $d \in \mathbb{N}$. A x -**permutator scheme** of degree d is a term t whose Böhm tree is equal to that of a x -h.p. for applicative depth $< d$.

Applicative depth: number of nestings inside arguments.

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Compatible truncation

$x : (2 \cdot S) \vdash t : T$ iff, for all $d \in \mathbb{N}$, $x : (2 \cdot (S)^{\leq d}) \vdash t : (T)^{\leq d}$

SYSTEM S_{hp}

System S_{hp} = System **S** +

“Infinitary rule”:

$$\frac{x : (2 \cdot S) \vdash t : T \quad (S, T) \in \text{PPP}}{\vdash \lambda x.t : \text{ptyp}} \text{hp}$$

“Finitary” rule (level d for all d):

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Let t be a (finite or not) normal form. Then $\vdash t : \text{ptyp}$ iff t is a hereditary permutator.

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Lemma (compatible truncation in S_{hp})

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If P proves $C \vdash t : T$ in \mathbf{S}_{hp} and P is finite, then t is HN.

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From ∞ -s.r. and s.e. + inversion for NF + truncation:

A unique type for hereditary permutators

For all terms t , t is a h.p. iff $\vdash t : \text{ptyp}$ in system S_{hp} .

Contribution: characterizing **hereditary permutators** with a **unique type**

- Not possible in the **inductive** case (not r.e.)
- System **S**: **coinductive** variant of **non-idempotent intersection types**:
 - elements of multisets annotated with **tracks**
 - allows recovering soundness w. **approximability** (= *validity criterion*).
- In system **S**, h.p. characterized with **proper permutator pairs**.

- System S_{hp} : **“collapsing”** proper permutator pairs using constant **ptyp**.
ptyp approximated by $ptyp_d \rightsquigarrow$ approximability extends to S_{hp} .
- Truncation lemma for t NF:
 $\vdash t : ptyp$ approximated by $\vdash t : ptyp_d$ (for all $d \in \mathbb{N}$)
- S_{hp} : infinitary subject reduction and expansion
 \rightsquigarrow retrieving methods of finitary intersection type systems
- Soundness and completeness w.r.t. h.p.: t is a h.p. iff $\vdash t : ptyp$ in S_{hp}

Future work: Other sets of terms with infinitary behaviors

inc. normalization in other ∞ -calculi