

# A Glimpse at Intersection Types

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Inria - LS2N

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LABORATOIRE  
DES SCIENCES  
DU NUMÉRIQUE  
DE NANTES

Non-Idempotent

Gardner 94 - de Carvalho 07

Intersection

Coppo-Dezani 80

Type Theory

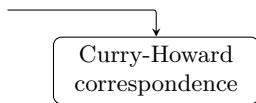
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characterizes:

- normalization
- complexity classes
- MSO-sat.

### Type Theory

Curry-Howard  
correspondence

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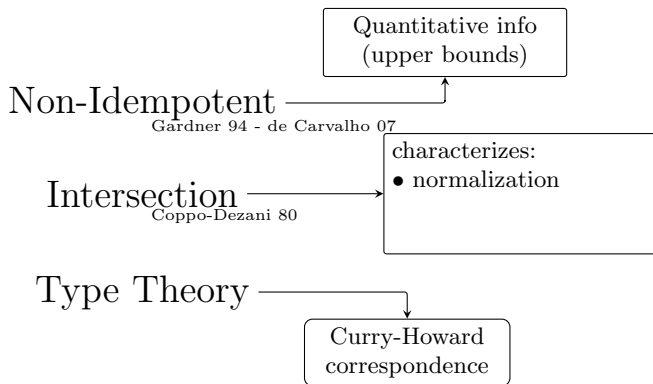
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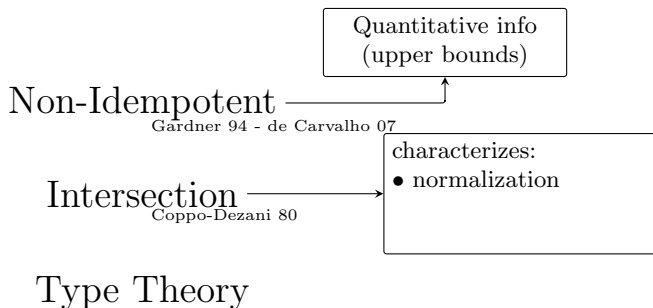
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1 OVERVIEW (IDEMPOTENT OR NOT INTERSECTION TYPES)

2 NON-IDEMPOTENT INTERSECTION TYPES

3 EXTRAS

4 PERSPECTIVES



## INTERSECTION TYPES (OVERVIEW)

- Introduced by **Coppo-Dezani** (78-80) to “interpret more terms”
  - Charac. of Weak Norm. for  $\lambda I$ -terms (no erasing  $\beta$ -step).
  - Extended later for  $\lambda$ -terms, head, weak or strong normalization. . .
  - Filter models
- Model-checking
  - *Ong 06*: monadic second order (MSO) logic is decidable for higher-order recursion schemes (HORS)
  - *Kobayashi-Ong 09*: MSO is decidable for higher-order programs
    - + using intersection types to simplify Ong’s algorithm.
  - Refined by *Grellois-Melliès 14-15*
- Complexity:
  - Upper bounds for reduction sequences (*Gardner 94, de Carvalho 07*) or exact bounds (*Bernadet-Lengrand 11, Accattoli-Lengrand-Kesner, ICFP’18*).
  - *Terui 06*: upper bounds for terms in a red. sequence
  - *De Benedetti-Ronchi della Roccha 16*: characterization of FPTIME

# TERMINAL STATES AND EXECUTION/REDUCTION STRATEGIES

$$\underbrace{2 + 3 \times 5}_{\substack{\text{Reducible (non-terminal) \\ \text{states}}}} \longrightarrow \underbrace{2 + 15}_{\substack{\text{Reducible (non-terminal) \\ \text{states}}} \longrightarrow 17$$

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Terminal state

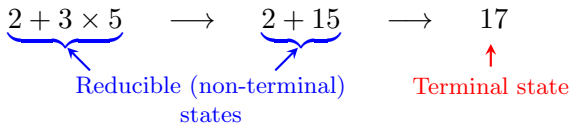
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**Kim (smart)**

$$\begin{aligned} f(3 + 4) &\rightarrow f(7) \\ &\rightarrow 7 \times 7 \times 7 \\ &\rightarrow 49 \times 7 \\ &\rightarrow 343 \end{aligned}$$

**Lee (not so)**

$$\begin{aligned} f(3 + 4) &\rightarrow (3 + 4) \times (3 + 4) \times (3 + 4) \\ &\rightarrow 7 \times (3 + 4) \times (3 + 4) \\ &\rightarrow 7 \times 7 \times (3 + 4) \\ &\rightarrow 7 \times 7 \times 7 \\ &\rightarrow 49 \times 7 \\ &\rightarrow 343 \end{aligned}$$

**Thurston (don't be Thurston)**

$$\begin{aligned} f(3 + 4) &\rightarrow (3 + 4) \times (3 + 4) \times (3 + 4) \\ &\rightarrow 3 \times (3 + 4) \times (3 + 4) + 4 \times (3 + 4) \times (3 + 4) \\ &\rightarrow \text{dozens of computation steps} \\ &\dots \dots \dots \\ &\rightarrow 343 \end{aligned}$$

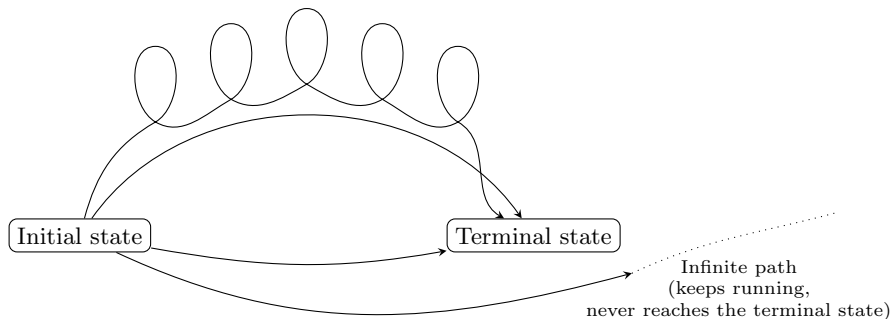
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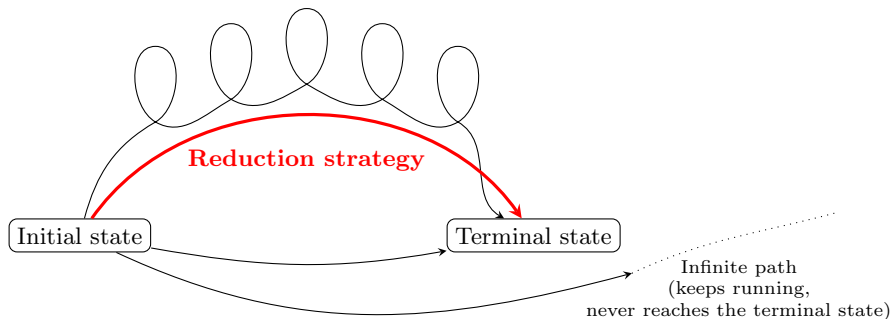
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# TERMINAL STATES AND EXECUTION/REDUCTION STRATEGIES





## Reduction strategy

- **Choice** of a reduction path.
- Can be **complete** (w.r.t. termin.).
- Must be **certified**.



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Equivalences of the form

*“the program  $t$  is typable iff it can reach a terminal state”*

*Idea:* **several** certificates to a same subprogram (next slides).

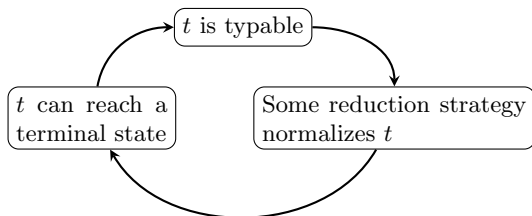
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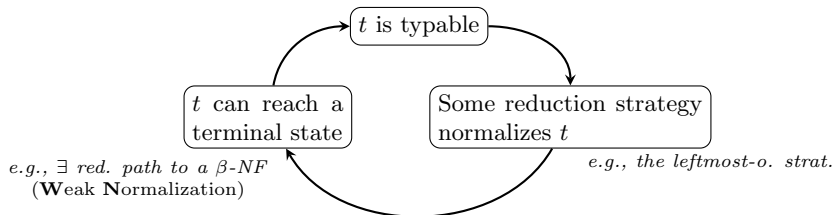
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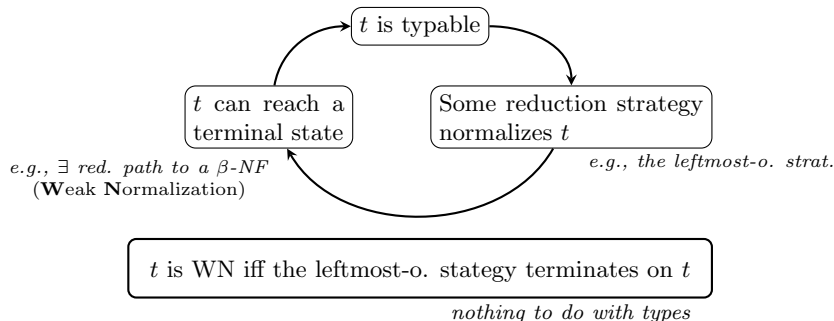
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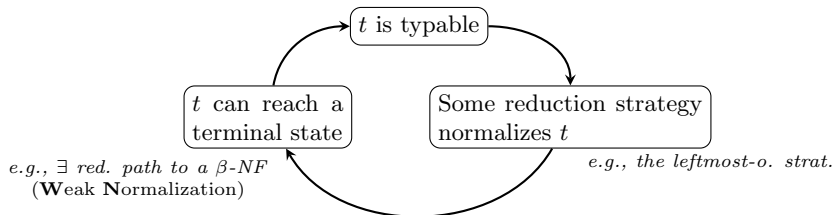
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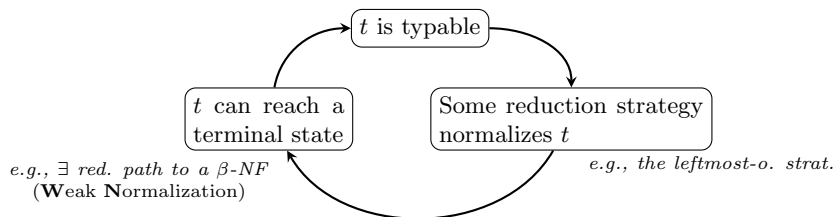
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## Intersection types

- Perhaps too expressive...
- ...but **certify reduction strategies!**

- Naively,  $A \wedge B$  stands for  $A \cap B$ :

*t is of type  $A \wedge B$  if t can be typed with A as well as B.*

$$\frac{I : A \rightarrow A \quad I : (A \rightarrow B) \rightarrow (A \rightarrow B)}{I : (A \rightarrow A) \wedge ((A \rightarrow B) \rightarrow (A \rightarrow B))} \wedge\text{-intro} \quad (\text{with } I = \lambda x.x)$$

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- Intersection = kind of *finite polymorphism*.

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- But *less constrained*:

assigning  $x : o \wedge (o \rightarrow o') \wedge (o \rightarrow o) \rightarrow o$  is legal.

(*not an instance of a polymorphic type except  $\forall X.X := \text{False!}$* )

# SUBJECT REDUCTION AND SUBJECT EXPANSION

A good intersection type system should enjoy:

**Subject Reduction (SR):**

Typing is stable under reduction.

**Subject Expansion (SE):**

Typing is stable under anti-reduction.

*SE is usually not verified by simple or polymorphic type systems*

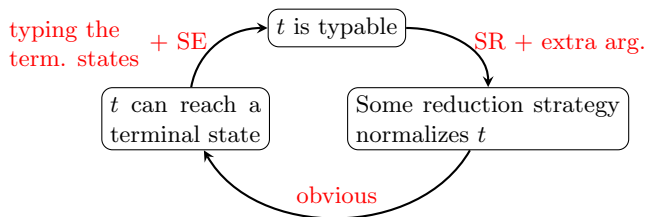
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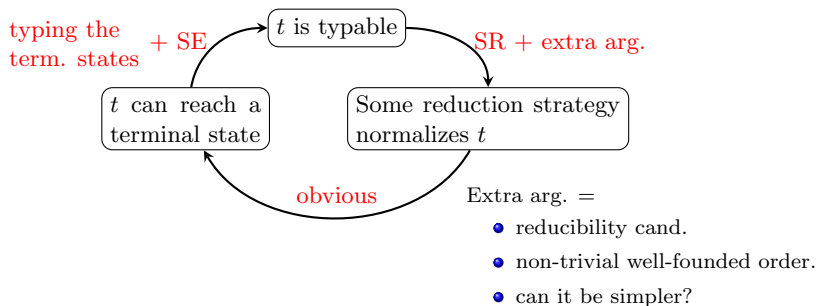
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 \frac{x : A \vdash r : B}{\lambda x.r : A \rightarrow B} \text{abs} \qquad \frac{\Pi_s}{s : A} \\
 \hline
 (\lambda x.r)s : B \text{ app}
 \end{array}$$

The diagram illustrates the Subject Reduction property. It shows a typing derivation for the application of a lambda abstraction to an argument. The lambda abstraction is derived from the typing rule 'abs', which requires a typing derivation for the body 'r' under the assumption 'x : A'. This body 'r' is itself an application of a lambda abstraction to an argument 's'. The typing derivation for 'r' is shown as a tree of 'ax' (axiom) rules, with dotted lines indicating the flow of assumptions. The typing derivation for 's' is shown as a tree of 'Pi\_s' (subject reduction) rules, also with dotted lines indicating the flow of assumptions. The final result is the typing derivation for the application '(lambda x.r)s : B', which is derived from the 'abs' and 'app' rules.

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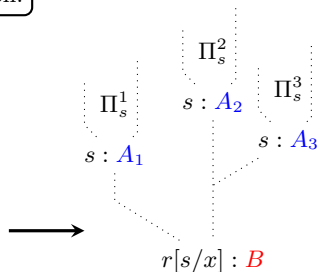
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 \end{array}
 \xrightarrow{\text{app}}$$



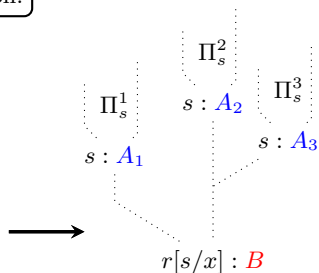




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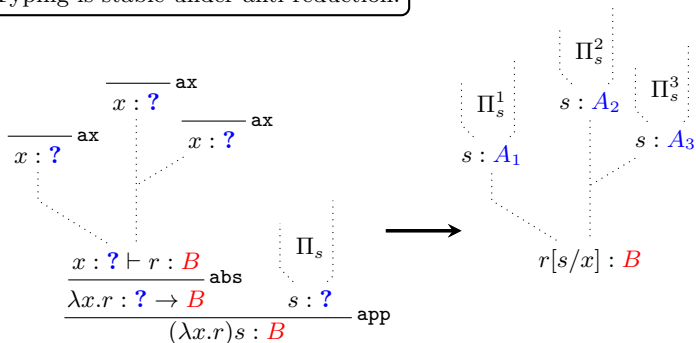
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think of  $(\lambda x.x x)I \rightarrow_{\beta} I I$

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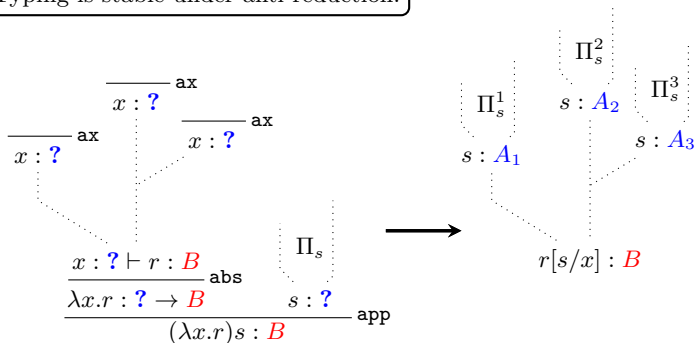
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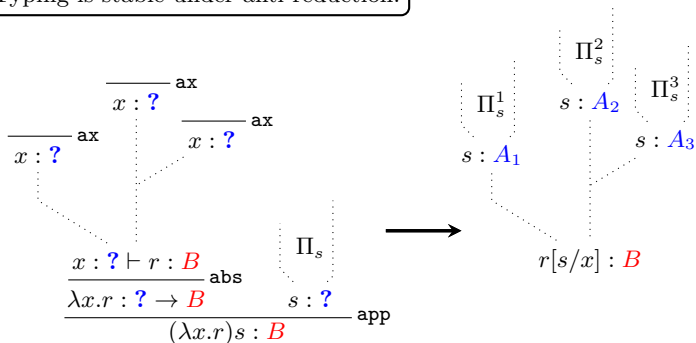
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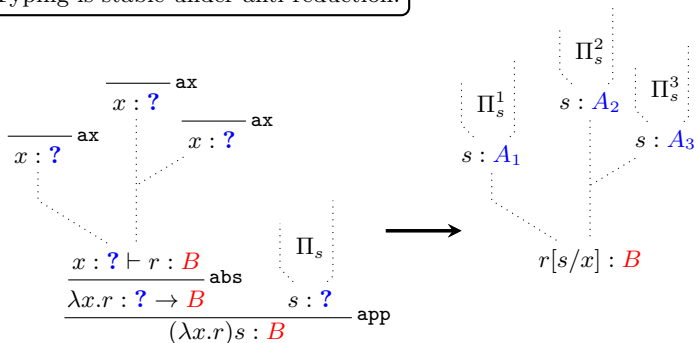


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- Typing normal form: just structural induction (no clash).

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## Non-idempotent intersection types

**Disallow** duplication for typing certificates.

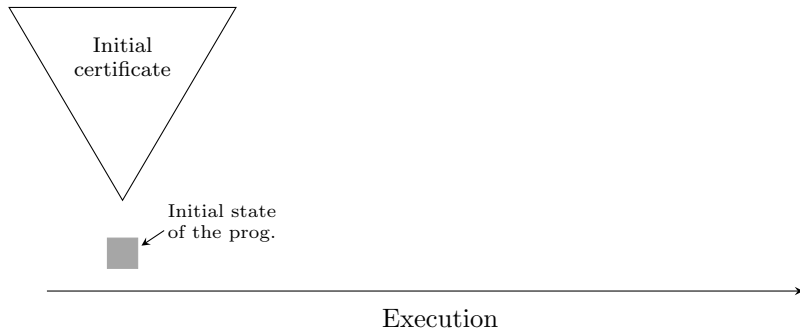
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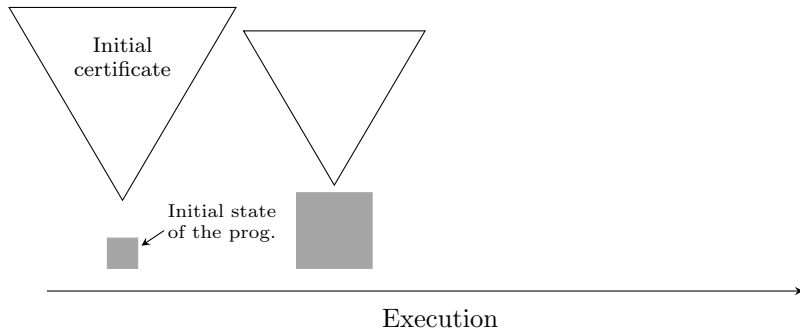
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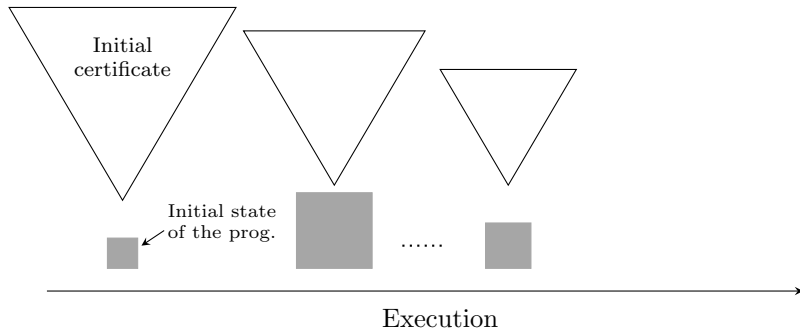


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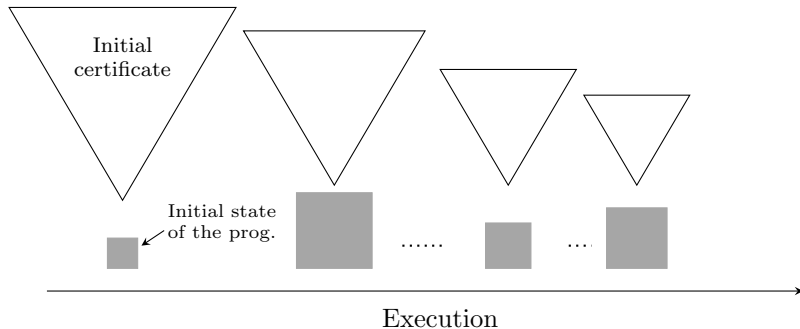
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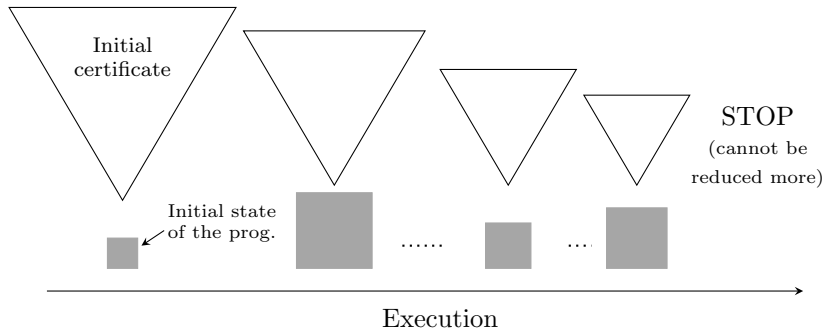


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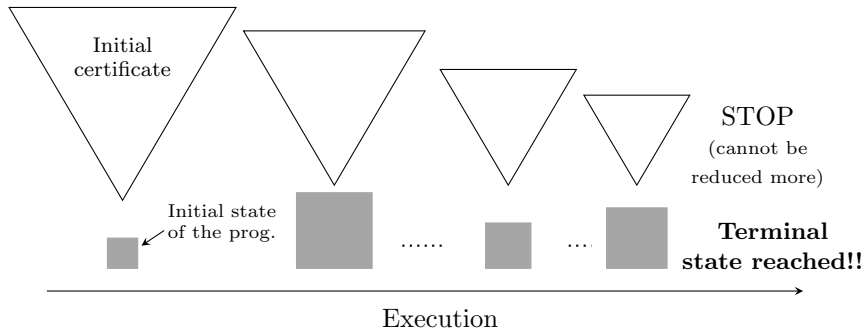
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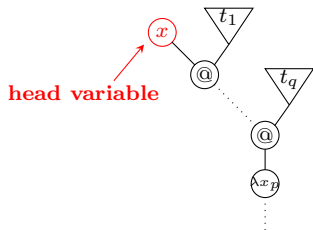
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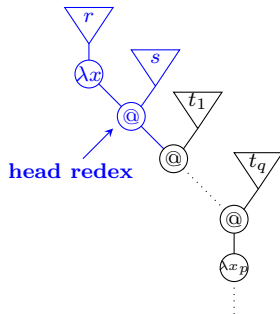


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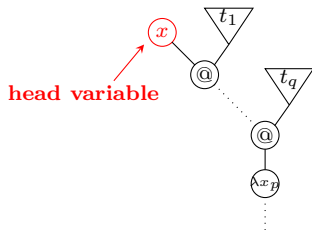


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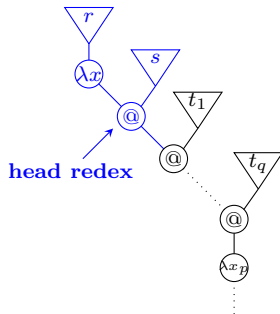


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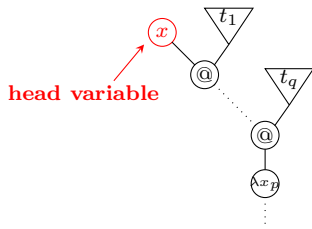
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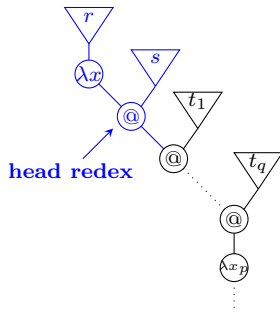
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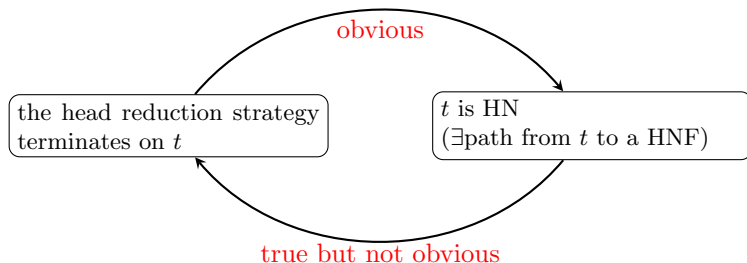


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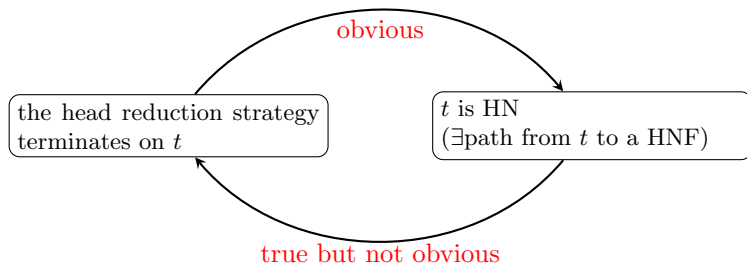
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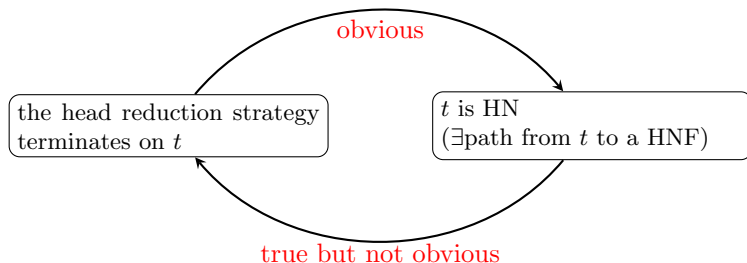
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**Intersection types come to help!**

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**Assoc.:**  $(A \wedge B) \wedge C \sim A \wedge (B \wedge C)$

**Comm.:**  $A \wedge B \sim B \wedge A$

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**Assoc.:**  $(A \wedge B) \wedge C \sim A \wedge (B \wedge C)$

**Comm.:**  $A \wedge B \sim B \wedge A$

**Idempotency?**  $A \wedge A \sim A$



## INTERSECTION TYPES (COPPO-DEZANI 80)

- Type constructors:  $o \in \mathcal{O}$ ,  $\rightarrow$  and  $\wedge$  (intersection).
- Strict types:**
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Typing= *qualitative* info.

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Typing= *qualitative* info.

Typing= *quantitative* info.

- Collapsing  $A \wedge B \wedge C$  into  $[A, B, C]$  (**multiset**)  $\rightsquigarrow$  no need for perm rules etc.

$$A \wedge B \wedge A := [A, B, A] = [A, A, B] \neq [A, B]$$

$$[A, B, A] = [A, B] + [A]$$

Types:  $\tau, \sigma ::= o \mid [\sigma_i]_{i \in I} \rightarrow \tau$

- **intersection = multiset** of types  $[\sigma_i]_{i \in I}$
- only on the left-h.s of  $\rightarrow$  (*strictness*)

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*Remark*

- **Relevant** system (no weakening, *cf.* ax-rule)

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*Remark*

- **Relevant** system (no weakening, cf. ax-rule)
- **Non-idempotency** ( $\sigma \wedge \sigma \neq \sigma$ ):  
in app-rule, pointwise multiset sum *e.g.*,  
 $(x : [\sigma]; y : [\tau]) + (x : [\sigma, \tau]) = x : [\sigma, \sigma, \tau]; y : [\tau]$

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$$\frac{\frac{\frac{}{f : [o] \rightarrow o} \text{ax} \quad \frac{\frac{\frac{}{f : [o] \rightarrow o} \text{ax} \quad \frac{}{x : o} \text{ax}}{f x : o} \text{app}}{f(f x) : o} \text{app}}{f : [o] \rightarrow o} \text{ax}}{f(f x) : o} \text{app}}{f : [o] \rightarrow o} \text{ax}}{f(f x) : o} \text{app}}{f : [o] \rightarrow o} \text{ax}}{f(f x) : o} \text{app}}$$

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always typed!**

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**Head redexes  
always typed!**

but an arg. may  
be typed 0 time

## PROPERTIES ( $\mathcal{R}_0$ )

- **Weighted Subject Reduction**

- Reduction preserves types and environments, and...
- ... *head* reduction strictly **decreases** the number of nodes of the deriv. tree (**size**).  
(*actually, holds for any typed redex*)

- **Subject Expansion**

- Anti-reduction preserves types and environments.

### Theorem (de Carvalho)

Let  $t$  be a  $\lambda$ -term. Then equivalence between:

- 1  $t$  is typable (in  $\mathcal{R}_0$ )
- 2  $t$  is HN
- 3 the head reduction strategy terminates on  $t$  ( $\rightsquigarrow$  **certification!**)

### Bonus (quantitative information)

If  $\Pi$  types  $t$ , then **size**( $\Pi$ ) bounds the number of **steps**  
of the head red. strategy on  $t$

## HEAD VS WEAK AND STRONG NORMALIZATION

Let  $t$  be a  $\lambda$ -term.

- **Head normalization (HN):**  
there is a path from  $t$  to a head normal form.
- **Weak normalization (WN):**  
there is *at least one path* from  $t$  to a  **$\beta$ -Normal Form (NF)**
- **Strong normalization (SN):**  
there is *no infinite path* starting at  $t$ .

$$\text{SN} \Rightarrow \text{WN} \Rightarrow \text{HN}$$

$y \Omega$  HNF but not WN

$(\lambda x.y)\Omega$  WN but not SN

## CHARACTERIZING WEAK AND STRONG NORMALIZATION

HN	System $\mathcal{R}_0$ <i>any arg. can be left untyped</i>	$\text{sz}(\Pi)$ bounds the number of <i>head</i> reduction steps
WN	System $\mathcal{R}_0$ + <b>unforgetfulness criterion</b> <i>non-erasable args must be typed</i>	$\text{sz}(\Pi)$ bounds the number of leftmost-outermost red. steps (and more)
SN	Modify system $\mathcal{R}_0$ with <b>choice operator</b> <i>all args must be typed</i>	$\text{sz}(\Pi)$ bounds the length of <i>any</i> reduction path

From a typing of  $(\lambda x.r)s \dots$  to a typing of  $r[s/x]$

$$\begin{array}{c}
 \frac{}{x:[\sigma_1] \vdash x:\sigma_1} \text{ax} \qquad \frac{}{x:[\sigma_1] \vdash x:\sigma_1} \text{ax} \\
 \vdots \qquad \qquad \qquad \vdots \\
 \frac{}{x:[\sigma_2] \vdash x:\sigma_2} \text{ax} \\
 \vdots \\
 \frac{\Gamma; x:[\sigma_1, \sigma_2, \sigma_1] \vdash r:\tau}{\Gamma \vdash \lambda x.r : [\sigma_1, \sigma_2, \sigma_1] \rightarrow \tau} \text{abs} \qquad \begin{array}{ccc} \triangleleft \Pi_1^a & \triangleleft \Pi_2 & \triangleleft \Pi_1^b \\ \Delta_1^a \vdash s:\sigma_1 & \Delta_2 \vdash s:\sigma_2 & \Delta_1^b \vdash s:\sigma_1 \end{array} \\
 \hline
 \Gamma + \Delta_1^a + \Delta_1^b + \Delta_2 \vdash (\lambda x.r)s : \tau \quad \text{app}
 \end{array}$$

# SUBJECT REDUCTION AND EXPANSION IN $\mathcal{R}_0$

From a typing of  $(\lambda x.r)s \dots$  to a typing of  $r[s/x]$

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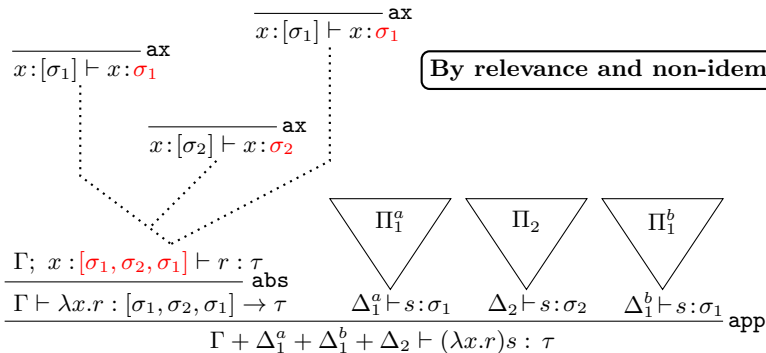
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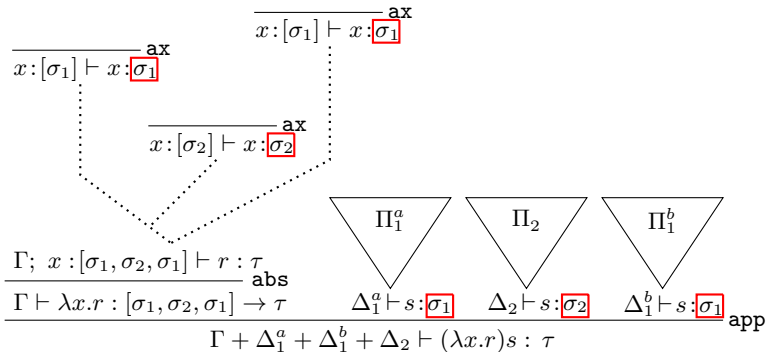
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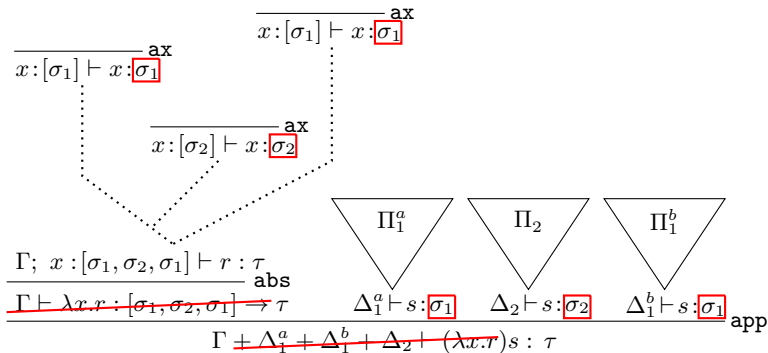
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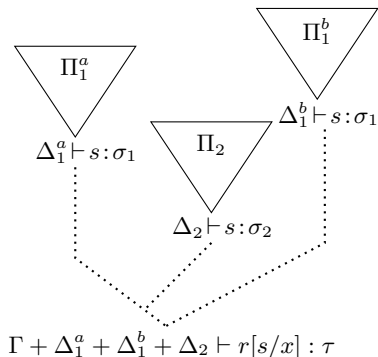


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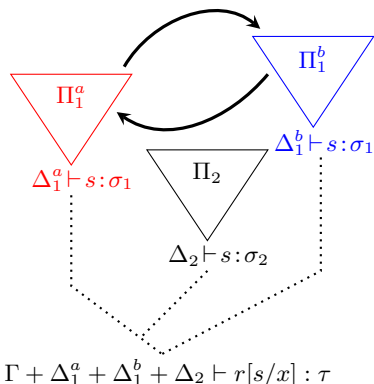


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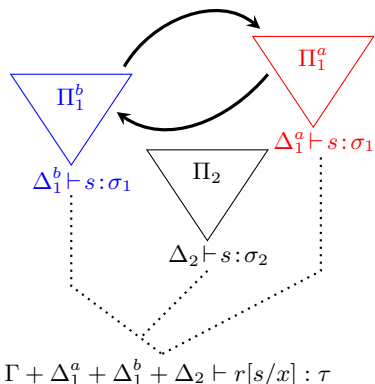
From a typing of  $(\lambda x.r)s \dots$  to a typing of  $r[s/x]$



Non-determinism of SR

# SUBJECT REDUCTION AND EXPANSION IN $\mathcal{R}_0$

From a typing of  $(\lambda x.r)s \dots$  to a typing of  $r[s/x]$



**Non-determinism of SR**



1 OVERVIEW (IDEMPOTENT OR NOT INTERSECTION TYPES)

2 NON-IDEMPOTENT INTERSECTION TYPES

3 EXTRAS

4 PERSPECTIVES

$$\begin{array}{c}
 \frac{}{x : B} \text{ ax} \qquad \frac{}{x : C} \text{ ax} \\
 \begin{array}{c} \vdots \\ \vdots \end{array} \qquad \begin{array}{c} \vdots \\ \vdots \end{array} \\
 \qquad \qquad \qquad r : D \\
 \hline
 \lambda x.r : (B \wedge C) \rightarrow D \qquad \frac{\frac{}{y : A \rightarrow (B \wedge C)} \quad \frac{}{z : A}}{yz : B \wedge C}}{} \\
 \hline
 (\lambda x.r)(yz) : D
 \end{array}$$

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 \frac{}{x : B} \text{ ax} \qquad \frac{}{x : C} \text{ ax} \\
 \begin{array}{c} \vdots \\ \vdots \end{array} \qquad \begin{array}{c} \vdots \\ \vdots \end{array} \\
 \qquad \qquad \qquad r : D \\
 \frac{}{\lambda x.r : (B \wedge C) \rightarrow D} \\
 \frac{}{y : A \rightarrow (B \wedge C)} \qquad \frac{}{z : A} \\
 \frac{}{yz : B \wedge C} \\
 \frac{}{(\lambda x.r)(yz) : D}
 \end{array}$$

gives

$$\begin{array}{c}
 \frac{}{y : A \rightarrow (B \wedge C)} \qquad \frac{}{z : A} \qquad \frac{}{y : A \rightarrow (B \wedge C)} \qquad \frac{}{z : A} \\
 \frac{}{yz : B \wedge C} \qquad \wedge_L\text{-elim} \qquad \frac{}{yz : B \wedge C} \qquad \wedge_R\text{-elim} \\
 \frac{}{yz : B} \qquad \frac{}{yz : C} \\
 \begin{array}{c} \vdots \\ \vdots \end{array} \qquad \begin{array}{c} \vdots \\ \vdots \end{array} \\
 \qquad \qquad \qquad r[s/x] : D
 \end{array}$$

- Two possible applications rules:

$$\frac{\Gamma \vdash t : \{A_i\}_{i \in I} \rightarrow B \quad (\Delta_i \vdash u : A_i)_{i \in I}}{\Gamma \cup (\cup_{i \in I} \Delta_i) \vdash tu : B} \text{app}$$

Arg. **redundancy** allowed

# STRICTNESS + RELEVANCE + IDEMPOTENCE

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- Leads to:

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How do we reduce this?

# STRICTNESS + RELEVANCE + IDEMPOTENCE

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..... **disallowed**

- Leads to:

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How do we reduce this?

$$\begin{array}{c} \Pi^a \\ \vdots \\ \Delta^a \vdash s : A \\ \vdots \\ \Gamma \cup \Delta^a \cup \Delta^b \vdash r[s/x] : B \end{array} \quad \begin{array}{c} \Pi^b \\ \vdots \\ \Delta^b \vdash s : A \end{array}$$

How do we expand this?

- 1 OVERVIEW (IDEMPOTENT OR NOT INTERSECTION TYPES)
- 2 NON-IDEMPOTENT INTERSECTION TYPES
- 3 EXTRAS
- 4 PERSPECTIVES**



## Intersection types *via* Grothendieck construction

[Mazza,Pellissier,V., POPL2018]

- Categorical generalization of ITS. *à la* Melliès-Zeilberger.
- Type systems = 2-operads (see below).

### Type systems as 2-operads

- Level 1:  $\Gamma \vdash t : B$   $t = \text{multimorphism}$  from  $\Gamma$  to  $B$ .
- Level 2: if  $\Gamma \vdash t : B \overset{\text{SR}}{\rightsquigarrow} \Gamma \vdash t' : B$ ,  
 $t \rightsquigarrow t' = \text{2-morphism}$  from  $t$  to  $t'$ .

- Construction of an i.t.s. via a Grothendieck construction (pullbacks).
- **Modularity:** retrieving automatically  
*e.g., e.g., Coppo-Dezani, Gardner,  $\mathcal{R}_0$ , call-by-value +  $\mathcal{H}_{\lambda\mu}$  (use cyclic 2-operads)*

**Intersection types characterize**  
various **semantic** properties

+ bring info. **on operational semantics!**

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+ bring info. **on operational semantics!**

**Non-idempotency:**  
forbid duplication of typing deriv.

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Upper bounds refine into exact  
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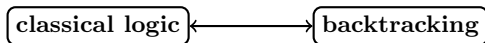
Accattoli-Lengrand-Kesner, ICFP'18



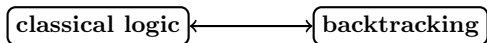
Thank you for your attention!

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- **Parigot 92:**  $\lambda\mu$ -calculus = computational interpretation of classical *natural deduction* (e.g., vs.  $\bar{\lambda}\mu\tilde{\mu}$ ).  
judg. of the form  $A, A \rightarrow B \vdash A \mid B, C$

$$\begin{array}{c}
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 \hline
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 \hline
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**Standard Style**

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# PEIRCE'S LAW IN CLASSICAL NATURAL DEDUCTION







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How do we adapt the non-idempotent machinery to  $\lambda\mu$ ?



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Let  $t$  be a  $\lambda\mu$ -term. Equiv. between:

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- Small-step version.

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- Main idea:

## Productive terms

- may not terminate...
- ...but keep on outputting info.  
(*e.g.*, sub-HNF)
- *sound* infinite red. sequence

*vs.*

## Meaningless terms

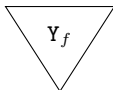
- do not output any info. ever  
(even a head variable)
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# PRODUCTIVE VS. UNPRODUCTIVE REDUCTION

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**Productive reduction:**  $\Delta_f := \lambda x.f(xx)$       $Y_f := \Delta_f \Delta_f$  "Curry  $f$ "

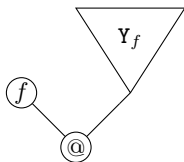
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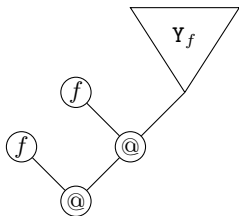
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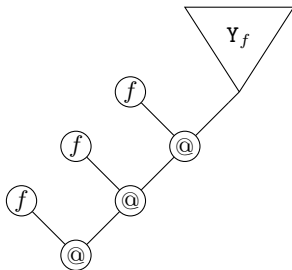




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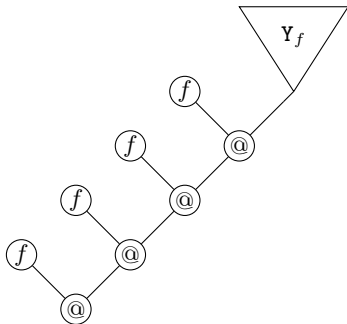
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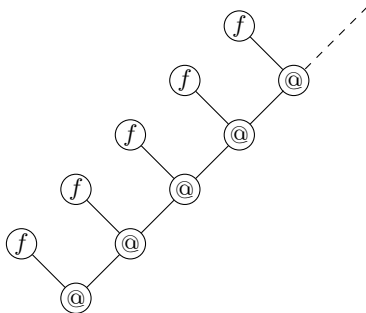
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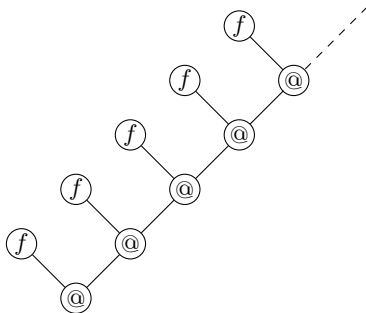
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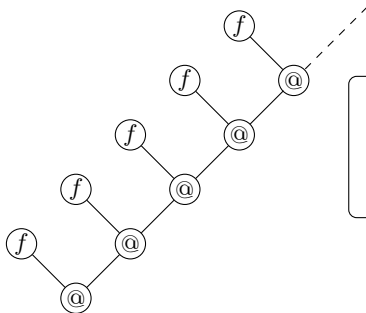
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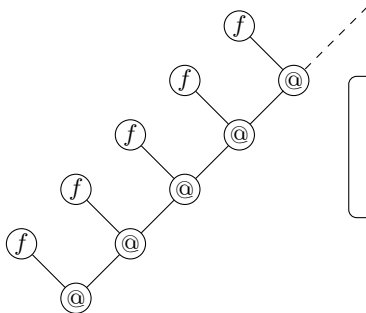


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**Unproductive reduction:** let  $\Delta = \lambda x.x x$ ,  $\Omega = \Delta \Delta$

$\Omega \rightarrow \Omega \rightarrow \Omega \rightarrow \Omega \rightarrow \Omega \rightarrow \Omega \rightarrow \dots$

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Multiset intersection:

- ⊕ syntax-direction
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- Solution: **sequential** intersection

**System S**

$\rightsquigarrow$  replace  $[\sigma_i]_{i \in I}$  with  $(k \cdot \sigma_k)_{k \in K}$

- **Tracking:**  $(3 \cdot \sigma, 5 \cdot \tau, 9 \cdot \sigma) = (3 \cdot \sigma, 5 \cdot \tau) \uplus (9 \cdot \sigma)$

## Proposition

In System  $S$ :

- Validity (aka *approximability*) can be defined.
- *SR*: typing is stable by productive  $\infty$ -reduction.
- *SE*: *approximable* typing stable by productive  $\infty$ -expansion.

## Theorem (V,LiCS'17)

- A  $\infty$ -term  $t$  is  $\infty$ -WN iff  $t$  is unforgetfully typable by means of an approximable derivation  $\rightsquigarrow$  Klop's Problem solved
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## Bonus: positive answer to TLCA Problem #20

System **S** also provides a type-theoretic characterization of the **hereditary permutations** (not possible in the inductive case, Tatsuta [LiCS'07]).

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- Translating the red. sequences of the  $\infty$ -calculi into the  $\varepsilon$ -calc  
via technical lemmas of the form:

**Lemma:** if  $t \rightarrow_\infty t'$  HNF, then  $t \rightarrow_{\mathbf{h}}^* t'_0$  HNF (finite sequence)

- In the infinitary calculi:

## confluence

only up to the collapsing of the meaningless terms

- Let  $Y_I = (\lambda x.I(x x))(\lambda x.I(x x))$

$$\begin{array}{ccccccc}
 Y_I & \rightarrow & I(Y_I) & \rightarrow & \dots & \rightarrow & I^n(Y_I) \rightarrow^\infty I^\omega \\
 \downarrow_2 & & & & & & \\
 \Omega & & & & & & 
 \end{array}$$

- Structure of proofs

*Kennaway et al. 96, Czjaka 14*

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Can *inductive* non-idem. inter. type systems help simplify proofs of infinitary confluence?